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# HSBC CHINA DRAGON FUND

滙豐中國翔龍基金

(Stock Code: 820)

Monthly Return on Movements in Units

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## Monthly Return for Collective Investment Scheme listed under Chapter 20 of the Exchange Listing Rules (other than listed open-ended Collective Investment Scheme) on Movements in Units

For the month ended: 31 December 2021

Status: New Submission

To : Hong Kong Exchanges and Clearing Limited

Name of Scheme: HSBC China Dragon Fund

Date Submitted: 03 January 2022

### I. Movements in Interests

|   |                 |   |
|---|-----------------|---|
| 1. Stock code   | 00820           | + |
| <input type="button" value="Add multi-counter stock code"/> |                 |   |
|   | No. of units    |   |
| Balance at close of preceding month                         | 44,001,019      |   |
| Increase / decrease (-)                                     | -8,800,143      |   |
| General Meeting approval date (if applicable)               | 07 January 2016 |   |
| Balance at close of the month                               | 35,200,876      |   |

**II. Details of Movements in Units****(A). Unit Options (under Unit Option Schemes of the Scheme)**  Not applicable

Add remarks

**(B). Warrants to Issue Units in the Scheme which are to be Listed**  Not applicable

Add remarks

**(C). Convertibles (i.e. Convertible into Units in the Scheme which are to be Listed)**  Not applicable

Add remarks

**(D). Any other Agreements or Arrangements to Issue Units in the Scheme which are to be Listed, including Options (other than under Unit Option Schemes)**  Not applicable

Add remarks

**(E). Other Movements in Units**  Not applicable

| 1. Stock code of units issuable (if listed on SEHK) (Note 1, 3 and 4) |                          | 00820  |   |   |   |  | + |
|---|--------------------------|--------|---|---|---|--|---|
| Add multi-counter stock code  |                          |        |   |   |   |  |   |
| Type of Issue   | At price (if applicable) |        | Issue and allotment date (Note 3 and 4) | General Meeting approval date (if applicable) | No. of new units in Scheme issued during the month pursuant thereto (E) | No. of new units in Scheme which may be issued pursuant thereto as at close of the month |   |
|   | Currency                 | Amount |   |   |   |  |   |
| 1). Redemption of units   |                          |        |   |   | -8,800,143  |  | + |

Total E (00820): -8,800,143

Add remarks

|   |  |
|---|--|
| Total increase / decrease (-) during the month (00820) (i.e. Total of A to E) | <span style="border: 1px solid black; padding: 2px;">-8,800,143</span> |
|---|--|

Add remarks

**III. Remarks (if any)**

Submitted by:

Maria Fung

Title:

Authorised officer - HSBC Global Asset Management (Hong Kong) Limited (Manager of HSBC China Dragon Fund)

(Director, Secretary or other Duly Authorised Officer)

## Notes

1. SEHK refers to Stock Exchange of Hong Kong.
2. If there is insufficient space, please submit additional document.
3. In the context of repurchase of units:
  - . "stock code of units issuable (if listed on SEHK)" should be construed as "stock code of units repurchased (if listed on SEHK)"; and
  - . "issue and allotment date" should be construed as "cancellation date"
4. In the context of redemption of units:
  - . "stock code of units issuable (if listed on SEHK)" should be construed as "stock code of units redeemed (if listed on SEHK)"; and
  - . "issue and allotment date" should be construed as "redemption date"

根據《上市規則》第二十章上市的集體投資計劃（不包括已上市的開放式集體投資計劃）的單位變動月報表

截至月份: 2021年12月31日

狀態: 新提交

致: 香港交易及結算所有限公司

計劃名稱: 匯豐中國翔龍基金

呈交日期: 2022年1月3日

### I. 權益變動

|                   |       |            |   |
|-------------------|-------|------------|---|
| 1. 證券代號           | 00820 |            | + |
| 增加多櫃檯證券代號         |       |            |   |
|                   |       | 法定/註冊股份數目  |   |
| 上月底結存             |       | 44,001,019 |   |
| 增加 / 減少 (-)       |       | -8,800,143 |   |
| 單位持有人大會通過日期 (如適用) |       | 2016年1月7日  |   |
| 本月底結存             |       | 35,200,876 |   |

增加備註

## II. 單位變動詳情

(A). 單位期權 (根據計劃的單位期權計劃)  不適用

增加備註

(B). 承諾發行將予上市的計劃單位的權證  不適用

增加備註

(C). 可轉換票據 (即可轉換為將予上市的計劃單位)  不適用

增加備註

(D). 為發行將予上市的計劃單位所訂立的任何其他協議或安排, 包括期權(但不包括根據單位期權計劃發行的期權)  不適用

增加備註

(E). 單位的其他變動  不適用

| 1. 可發行單位的證券代號(如已於香港聯交所上市) (註1, 3及4) |          | 00820 |                   |                       |                         |                       | + |
|-------------------------------------|----------|-------|-------------------|-----------------------|-------------------------|-----------------------|---|
| 增加多櫃檯證券代號                           |          |       |                   |                       |                         |                       |   |
| 發行類別                                | 價格 (如適用) |       | 發行及配發日期<br>(註3及4) | 單位持有人大會通過<br>日期 (如適用) | 本月內因此發行的計劃新單位<br>數目 (E) | 本月底因此可能發行的計劃新<br>單位數目 |   |
|                                     | 貨幣       | 價格    |                   |                       |                         |                       |   |
| 1). 贖回單位                            |          |       |                   |                       | -8,800,143              |                       | + |

總數 E (00820): -8,800,143

增加備註

|                                 |  |
|---------------------------------|--|
| 本月增加/減少 (-)總額(00820) (即A至E項的總和) | <span style="border: 1px solid black; padding: 2px;">-8,800,143</span> |
|---------------------------------|--|

增加備註

### III. 備註 (如有)

增加備註

呈交者：

馮慕蓮

職銜：

授權人士 - 匯豐環球投資管理(香港)有限公司 (匯豐中國翔龍基金之經理人)

(董事、秘書或其他獲正式授權的人員)

註

1. 香港聯交所指香港聯合交易所。
2. 如空位不敷應用，請呈交額外文件。
3. 如購回單位：
  - 「可發行單位的證券代號(如已於香港聯交所上市)」應理解為「所購回單位的證券代號(如於香港聯交所上市)」；及
  - 「發行及配發日期」應理解為「註銷日期」
4. 如贖回單位：
  - 「可發行單位的證券代號(如已於香港聯交所上市)」應理解為「所贖回單位的證券代號(如於香港聯交所上市)」；及
  - 「發行及配發日期」應理解為「贖回日期」





## Monthly Return for Collective Investment Scheme listed under Chapter 20 of the Exchange Listing Rules (other than listed open-ended Collective Investment Scheme) on Movements in Units

For the month ended: 30 November 2021

Status: New Submission

To : Hong Kong Exchanges and Clearing Limited

Name of Scheme: HSBC China Dragon Fund

Date Submitted: 01 December 2021

### I. Movements in Interests

|   |       |              |   |
|---|-------|--------------|---|
| 1. Stock code                                 | 00820 |              | + |
| Add multi-counter stock code                  |       |              |   |
|   |       | No. of units |   |
| Balance at close of preceding month           |       | 44,001,019   |   |
| Increase / decrease (-)                       |       |              |   |
| General Meeting approval date (if applicable) |       |              |   |
| Balance at close of the month                 |       | 44,001,019   |   |

Add remarks

**II. Details of Movements in Units**

**(A). Unit Options (under Unit Option Schemes of the Scheme)**  Not applicable

Add remarks

**(B). Warrants to Issue Units in the Scheme which are to be Listed**  Not applicable

Add remarks

**(C). Convertibles (i.e. Convertible into Units in the Scheme which are to be Listed)**  Not applicable

Add remarks

**(D). Any other Agreements or Arrangements to Issue Units in the Scheme which are to be Listed, including Options (other than under Unit Option Schemes)**  Not applicable

Add remarks

**(E). Other Movements in Units**  Not applicable

Add remarks

**III. Remarks (if any)**

Submitted by:

Title:   
(Director, Secretary or other Duly Authorised Officer)

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## Notes

1. SEHK refers to Stock Exchange of Hong Kong.
2. If there is insufficient space, please submit additional document.
3. In the context of repurchase of units:
  - . "stock code of units issuable (if listed on SEHK)" should be construed as "stock code of units repurchased (if listed on SEHK)"; and
  - . "issue and allotment date" should be construed as "cancellation date"
4. In the context of redemption of units:
  - . "stock code of units issuable (if listed on SEHK)" should be construed as "stock code of units redeemed (if listed on SEHK)"; and
  - . "issue and allotment date" should be construed as "redemption date"



根據《上市規則》第二十章上市的集體投資計劃（不包括已上市的開放式集體投資計劃）的單位變動月報表

截至月份: 2021年11月30日

狀態: 新提交

致: 香港交易及結算所有限公司

計劃名稱: 匯豐中國翔龍基金

呈交日期: 2021年12月1日

I. 權益變動

|                   |       |            |   |
|-------------------|-------|------------|---|
| 1. 證券代號           | 00820 |            | + |
| 增加多櫃檯證券代號         |       |            |   |
|                   |       | 法定/註冊股份數目  |   |
| 上月底結存             |       | 44,001,019 |   |
| 增加 / 減少 (-)       |       |            |   |
| 單位持有人大會通過日期 (如適用) |       |            |   |
| 本月底結存             |       | 44,001,019 |   |

增加備註

**II. 單位變動詳情**

(A). 單位期權（根據計劃的單位期權計劃）  不適用

增加備註

(B). 承諾發行將予上市的計劃單位的權證  不適用

增加備註

(C). 可轉換票據（即可轉換為將予上市的計劃單位）  不適用

增加備註

(D). 為發行將予上市的計劃單位所訂立的任何其他協議或安排，包括期權(但不包括根據單位期權計劃發行的期權)  不適用

增加備註

(E). 單位的其他變動  不適用

增加備註

## III. 備註 (如有)

|      |
|------|
| 增加備註 |
|------|

呈交者：

馮慕蓮

職銜：

授權人士 - 匯豐環球投資管理 (香港) 有限公司 (匯豐中國翔龍基金之經理人)

(董事、秘書或其他獲正式授權的人員)

註

1. 香港聯交所指香港聯合交易所。
2. 如空位不敷應用，請呈交額外文件。
3. 如購回單位：
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  - 「發行及配發日期」應理解為「註銷日期」
4. 如贖回單位：
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  - 「發行及配發日期」應理解為「贖回日期」



## Monthly Return for Collective Investment Scheme listed under Chapter 20 of the Exchange Listing Rules (other than listed open-ended Collective Investment Scheme) on Movements in Units

For the month ended: 31 October 2021

Status: New Submission

To : Hong Kong Exchanges and Clearing Limited

Name of Scheme: HSBC China Dragon Fund

Date Submitted: 01 November 2021

### I. Movements in Interests

|   |       |              |   |
|---|-------|--------------|---|
| 1. Stock code                                 | 00820 |              | + |
| Add multi-counter stock code                  |       |              |   |
|   |       | No. of units |   |
| Balance at close of preceding month           |       | 44,001,019   |   |
| Increase / decrease (-)                       |       |              |   |
| General Meeting approval date (if applicable) |       |              |   |
| Balance at close of the month                 |       | 44,001,019   |   |

Add remarks

**II. Details of Movements in Units**

**(A). Unit Options (under Unit Option Schemes of the Scheme)**  Not applicable

Add remarks

**(B). Warrants to Issue Units in the Scheme which are to be Listed**  Not applicable

Add remarks

**(C). Convertibles (i.e. Convertible into Units in the Scheme which are to be Listed)**  Not applicable

Add remarks

**(D). Any other Agreements or Arrangements to Issue Units in the Scheme which are to be Listed, including Options (other than under Unit Option Schemes)**  Not applicable

Add remarks

**(E). Other Movements in Units**  Not applicable

Add remarks



**III. Remarks (if any)**

Submitted by:

Maria Fung

Title:

Authorised officer - HSBC Global Asset Management (Hong Kong) Limited (Manager of HSBC China Dragon Fund)

(Director, Secretary or other Duly Authorised Officer)

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**Notes**

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3. In the context of repurchase of units:
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根據《上市規則》第二十章上市的集體投資計劃（不包括已上市的開放式集體投資計劃）的單位變動月報表

截至月份: 2021年10月31日

狀態: 新提交

致: 香港交易及結算所有限公司

計劃名稱: 匯豐中國翔龍基金

呈交日期: 2021年11月1日

I. 權益變動

|                   |       |            |   |
|-------------------|-------|------------|---|
| 1. 證券代號           | 00820 |            | + |
| 增加多櫃檯證券代號         |       |            |   |
|                   |       | 法定/註冊股份數目  |   |
| 上月底結存             |       | 44,001,019 |   |
| 增加 / 減少 (-)       |       |            |   |
| 單位持有人大會通過日期 (如適用) |       |            |   |
| 本月底結存             |       | 44,001,019 |   |

增加備註

**II. 單位變動詳情**

(A). 單位期權（根據計劃的單位期權計劃）  不適用

增加備註

(B). 承諾發行將予上市的計劃單位的權證  不適用

增加備註

(C). 可轉換票據（即可轉換為將予上市的計劃單位）  不適用

增加備註

(D). 為發行將予上市的計劃單位所訂立的任何其他協議或安排，包括期權(但不包括根據單位期權計劃發行的期權)  不適用

增加備註

(E). 單位的其他變動  不適用

增加備註

## III. 備註 (如有)

|      |
|------|
| 增加備註 |
|------|

呈交者：

馮慕蓮

職銜：

授權人士 - 匯豐環球投資管理 (香港) 有限公司 (匯豐中國翔龍基金之經理人)

(董事、秘書或其他獲正式授權的人員)

註

1. 香港聯交所指香港聯合交易所。
2. 如空位不敷應用，請呈交額外文件。
3. 如購回單位：
  - 「可發行單位的證券代號(如已於香港聯交所上市)」應理解為「所購回單位的證券代號(如於香港聯交所上市)」；及
  - 「發行及配發日期」應理解為「註銷日期」
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  - 「發行及配發日期」應理解為「贖回日期」



## Monthly Return for Collective Investment Scheme listed under Chapter 20 of the Exchange Listing Rules (other than listed open-ended Collective Investment Scheme) on Movements in Units

For the month ended: 30 September 2021

Status: New Submission

To : Hong Kong Exchanges and Clearing Limited

Name of Scheme: HSBC China Dragon Fund

Date Submitted: 04 October 2021

### I. Movements in Interests Not applicable

|   |              |   |
|---|--------------|---|
| 1. Stock code                                 | 00820        | + |
| Add multi-counter stock code                  |              |   |
|   | No. of units |   |
| Balance at close of preceding month           | 44,001,019   |   |
| Increase / decrease (-)                       |              |   |
| General Meeting approval date (if applicable) |              |   |
| Balance at close of the month                 | 44,001,019   |   |

Add remarks

Remarks:

**II. Details of Movements in Units**

**(A). Unit Options (under Unit Option Schemes of the Scheme)**  Not applicable

Total funds raised during the month from exercise of options: \_\_\_\_\_

Add remarks

Remarks:

\_\_\_\_\_ -

**(B). Warrants to Issue Units in the Scheme which are to be Listed**  Not applicable

Add remarks

Remarks:

\_\_\_\_\_ -

**(C). Convertibles (i.e. Convertible into Units in the Scheme which are to be Listed)**  Not applicable

Add remarks

Remarks:

\_\_\_\_\_ -

**(D). Any other Agreements or Arrangements to Issue Units in the Scheme which are to be Listed, including Options (other than under Unit Option Schemes)**  Not applicable

Add remarks

Remarks:

\_\_\_\_\_ -

**(E). Other Movements in Units**  Not applicable

Add remarks

Remarks:

\_\_\_\_\_ -



**III. Remarks (if any)**

Remarks:

Submitted by:

Title:

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**Notes**

1. SEHK refers to Stock Exchange of Hong Kong.
2. If there is insufficient space, please submit additional document.
3. In the context of repurchase of units:
  - . "stock code of units issuable (if listed on SEHK)" should be construed as "stock code of units repurchased (if listed on SEHK)"; and
  - . "issue and allotment date" should be construed as "cancellation date"
4. In the context of redemption of units:
  - . "stock code of units issuable (if listed on SEHK)" should be construed as "stock code of units redeemed (if listed on SEHK)"; and
  - . "issue and allotment date" should be construed as "redemption date"





根據《上市規則》第二十章上市的集體投資計劃（不包括已上市的開放式集體投資計劃）的單位變動月報表

截至月份: 2021年9月30日

狀態: 新提交

致: 香港交易及結算所有限公司

計劃名稱: 匯豐中國翔龍基金

呈交日期: 2021年10月4日

I. 權益變動  不適用

|                   |            |   |
|-------------------|------------|---|
| 1. 證券代號           | 00820      | + |
| 增加多櫃檯證券代號         |            |   |
|                   | 法定/註冊股份數目  |   |
| 上月底結存             | 44,001,019 |   |
| 增加 / 減少 (-)       |            |   |
| 單位持有人大會通過日期 (如適用) |            |   |
| 本月底結存             | 44,001,019 |   |

增加備註

備註:

## II. 單位變動詳情

(A). 單位期權 (根據計劃的單位期權計劃)  不適用

本月內因行使期權所得資金總額: \_\_\_\_\_

增加備註

備註:

|  |   |
|--|---|
|  | - |
|--|---|

(B). 承諾發行將予上市的計劃單位的權證  不適用

增加備註

備註:

|  |   |
|--|---|
|  | - |
|--|---|

(C). 可轉換票據 (即可轉換為將予上市的計劃單位)  不適用

增加備註

備註:

|  |   |
|--|---|
|  | - |
|--|---|

(D). 為發行將予上市的計劃單位所訂立的任何其他協議或安排, 包括期權(但不包括根據單位期權計劃發行的期權)  不適用

增加備註

備註:

|  |   |
|--|---|
|  | - |
|--|---|

(E). 單位的其他變動  不適用

增加備註

備註:

|  |   |
|--|---|
|  | - |
|--|---|



### III. 備註 (如有)

增加備註

備註:

|  |   |
|--|---|
|  | - |
|--|---|

呈交者:

馮慕蓮

職銜:

授權人士 - 匯豐環球投資管理 (香港) 有限公司 (匯豐中國翔龍基金之經理人)

(董事、秘書或其他獲正式授權的人員)

註

1. 香港聯交所指香港聯合交易所。
2. 如空位不敷應用，請呈交額外文件。
3. 如購回單位：
  - 「可發行單位的證券代號(如已於香港聯交所上市)」應理解為「所購回單位的證券代號(如於香港聯交所上市)」；及
  - 「發行及配發日期」應理解為「註銷日期」
4. 如贖回單位：
  - 「可發行單位的證券代號(如已於香港聯交所上市)」應理解為「所贖回單位的證券代號(如於香港聯交所上市)」；及
  - 「發行及配發日期」應理解為「贖回日期」



## Monthly Return for Collective Investment Scheme listed under Chapter 20 of the Exchange Listing Rules (other than listed open-ended Collective Investment Scheme) on Movements in Units

For the month ended: 31 August 2021

Status: New Submission

To : Hong Kong Exchanges and Clearing Limited

Name of Scheme: HSBC China Dragon Fund

Date Submitted: 01 September 2021

### I. Movements in Interests Not applicable

|   |       |              |   |
|---|-------|--------------|---|
| 1. Stock code                                 | 00820 |              | + |
| Add multi-counter stock code                  |       |              |   |
|   |       | No. of units |   |
| Balance at close of preceding month           |       | 44,001,019   |   |
| Increase / decrease (-)                       |       |              |   |
| General Meeting approval date (if applicable) |       |              |   |
| Balance at close of the month                 |       | 44,001,019   |   |

Add remarks

**II. Details of Movements in Units**

**(A). Unit Options (under Unit Option Schemes of the Scheme)**  Not applicable

Add remarks

**(B). Warrants to Issue Units in the Scheme which are to be Listed**  Not applicable

Add remarks

**(C). Convertibles (i.e. Convertible into Units in the Scheme which are to be Listed)**  Not applicable

Add remarks

**(D). Any other Agreements or Arrangements to Issue Units in the Scheme which are to be Listed, including Options (other than under Unit Option Schemes)**  Not applicable

Add remarks

**(E). Other Movements in Units**  Not applicable

Add remarks

**III. Remarks (if any)**

Submitted by:

Maria Fung

Title:

Authorised officer - HSBC Global Asset Management (Hong Kong) Limited (Manager of HSBC China Dragon Fund)

(Director, Secretary or other Duly Authorised Officer)

## Notes

1. SEHK refers to Stock Exchange of Hong Kong.
2. If there is insufficient space, please submit additional document.
3. In the context of repurchase of units:
  - . "stock code of units issuable (if listed on SEHK)" should be construed as "stock code of units repurchased (if listed on SEHK)"; and
  - . "issue and allotment date" should be construed as "cancellation date"
4. In the context of redemption of units:
  - . "stock code of units issuable (if listed on SEHK)" should be construed as "stock code of units redeemed (if listed on SEHK)"; and
  - . "issue and allotment date" should be construed as "redemption date"



根據《上市規則》第二十章上市的集體投資計劃（不包括已上市的開放式集體投資計劃）的單位變動月報表

截至月份: 2021年8月31日

狀態: 新提交

致: 香港交易及結算所有限公司

計劃名稱: 匯豐中國翔龍基金

呈交日期: 2021年9月1日

I. 權益變動  不適用

|                   |       |            |   |
|-------------------|-------|------------|---|
| 1. 證券代號           | 00820 |            | + |
| 增加多櫃檯證券代號         |       |            |   |
|                   |       | 法定/註冊股份數目  |   |
| 上月底結存             |       | 44,001,019 |   |
| 增加 / 減少 (-)       |       |            |   |
| 單位持有人大會通過日期 (如適用) |       |            |   |
| 本月底結存             |       | 44,001,019 |   |

增加備註



**II. 單位變動詳情**

(A). 單位期權（根據計劃的單位期權計劃）  不適用

增加備註

(B). 承諾發行將予上市的計劃單位的權證  不適用

增加備註

(C). 可轉換票據（即可轉換為將予上市的計劃單位）  不適用

增加備註

(D). 為發行將予上市的計劃單位所訂立的任何其他協議或安排，包括期權(但不包括根據單位期權計劃發行的期權)  不適用

增加備註

(E). 單位的其他變動  不適用

增加備註

## III. 備註 (如有)

|      |
|------|
| 增加備註 |
|------|

呈交者：

馮慕蓮

職銜：

授權人士 - 匯豐環球投資管理 (香港) 有限公司 (匯豐中國翔龍基金之經理人)

(董事、秘書或其他獲正式授權的人員)

註

1. 香港聯交所指香港聯合交易所。
2. 如空位不敷應用，請呈交額外文件。
3. 如購回單位：
  - 「可發行單位的證券代號(如已於香港聯交所上市)」應理解為「所購回單位的證券代號(如於香港聯交所上市)」；及
  - 「發行及配發日期」應理解為「註銷日期」
4. 如贖回單位：
  - 「可發行單位的證券代號(如已於香港聯交所上市)」應理解為「所贖回單位的證券代號(如於香港聯交所上市)」；及
  - 「發行及配發日期」應理解為「贖回日期」



## Monthly Return for Collective Investment Scheme listed under Chapter 20 of the Exchange Listing Rules (other than listed open-ended Collective Investment Scheme) on Movements in Units

For the month ended: 31 July 2021

Status: New Submission

To : Hong Kong Exchanges and Clearing Limited

Name of Scheme: HSBC China Dragon Fund

Date Submitted: 02 August 2021

### I. Movements in Interests Not applicable

|   |              |   |
|---|--------------|---|
| 1. Stock code                                 | 00820        | + |
| Add multi-counter stock code                  |              |   |
|   | No. of units |   |
| Balance at close of preceding month           | 44,001,019   |   |
| Increase / decrease (-)                       |              |   |
| General Meeting approval date (if applicable) |              |   |
| Balance at close of the month                 | 44,001,019   |   |

Add remarks

**II. Details of Movements in Units**

**(A). Unit Options (under Unit Option Schemes of the Scheme)**  Not applicable

Add remarks

**(B). Warrants to Issue Units in the Scheme which are to be Listed**  Not applicable

Add remarks

**(C). Convertibles (i.e. Convertible into Units in the Scheme which are to be Listed)**  Not applicable

Add remarks

**(D). Any other Agreements or Arrangements to Issue Units in the Scheme which are to be Listed, including Options (other than under Unit Option Schemes)**  Not applicable

Add remarks

**(E). Other Movements in Units**  Not applicable

Add remarks

**III. Remarks (if any)**

Submitted by:

Maria Fung

Title:

Authorised officer - HSBC Global Asset Management (Hong Kong) Limited (Manager of HSBC China Dragon Fund)

(Director, Secretary or other Duly Authorised Officer)

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**Notes**

1. SEHK refers to Stock Exchange of Hong Kong.
2. If there is insufficient space, please submit additional document.
3. In the context of repurchase of units:
  - . "stock code of units issuable (if listed on SEHK)" should be construed as "stock code of units repurchased (if listed on SEHK)"; and
  - . "issue and allotment date" should be construed as "cancellation date"
4. In the context of redemption of units:
  - . "stock code of units issuable (if listed on SEHK)" should be construed as "stock code of units redeemed (if listed on SEHK)"; and
  - . "issue and allotment date" should be construed as "redemption date"



根據《上市規則》第二十章上市的集體投資計劃（不包括已上市的開放式集體投資計劃）的單位變動月報表

截至月份: 2021年7月31日

狀態: 新提交

致: 香港交易及結算所有限公司

計劃名稱: 匯豐中國翔龍基金

呈交日期: 2021年8月2日

I. 權益變動  不適用

|                   |       |            |   |
|-------------------|-------|------------|---|
| 1. 證券代號           | 00820 |            | + |
| 增加多櫃檯證券代號         |       |            |   |
|                   |       | 法定/註冊股份數目  |   |
| 上月底結存             |       | 44,001,019 |   |
| 增加 / 減少 (-)       |       |            |   |
| 單位持有人大會通過日期 (如適用) |       |            |   |
| 本月底結存             |       | 44,001,019 |   |

增加備註

**II. 單位變動詳情**

(A). 單位期權（根據計劃的單位期權計劃）  不適用

增加備註

(B). 承諾發行將予上市的計劃單位的權證  不適用

增加備註

(C). 可轉換票據（即可轉換為將予上市的計劃單位）  不適用

增加備註

(D). 為發行將予上市的計劃單位所訂立的任何其他協議或安排，包括期權(但不包括根據單位期權計劃發行的期權)  不適用

增加備註

(E). 單位的其他變動  不適用

增加備註

## III. 備註 (如有)

|      |
|------|
| 增加備註 |
|------|

呈交者：

馮慕蓮

職銜：

授權人士 - 匯豐環球投資管理 (香港) 有限公司 (匯豐中國翔龍基金之經理人)

(董事、秘書或其他獲正式授權的人員)

註

1. 香港聯交所指香港聯合交易所。
2. 如空位不敷應用，請呈交額外文件。
3. 如購回單位：
  - 「可發行單位的證券代號(如已於香港聯交所上市)」應理解為「所購回單位的證券代號(如於香港聯交所上市)」；及
  - 「發行及配發日期」應理解為「註銷日期」
4. 如贖回單位：
  - 「可發行單位的證券代號(如已於香港聯交所上市)」應理解為「所贖回單位的證券代號(如於香港聯交所上市)」；及
  - 「發行及配發日期」應理解為「贖回日期」





**Monthly Return for Collective Investment Scheme listed under Chapter 20 of the Exchange Listing Rules (other than listed open-ended Collective Investment Scheme) on Movements in Units**

For the month ended (dd/mm/yyyy) : 30/06/2021

To : Hong Kong Exchanges and Clearing Limited

Name of Scheme HSBC China Dragon Fund

Date Submitted 02/07/2021

Stock Code 820

I. Movements in Interests

|                                       | No. of units |     |
|---------------------------------------|--------------|-----|
|                                       | (1)          | (2) |
| Balance at close of preceding month   | 44,001,019   |     |
| Increase/ (decrease) during the month | --           |     |
| EGM approval date                     |              |     |
| Balance at close of the month         | 44,001,019   |     |

II. Details of Movements in Units

Unit Options (under Unit Option Schemes of the Scheme)

| Particulars of unit option scheme including EGM approval date (dd/mm/yyyy)    | Movement during the month |           |           |        | No. of new units in Scheme issued during the month pursuant thereto | No. of new units in Scheme which may be issued pursuant thereto as at close of the month |
|---|---------------------------|-----------|-----------|--------|---|--|
|   | Granted                   | Exercised | Cancelled | Lapsed |   |  |
| 1.<br>_____<br>_____<br>_____<br>( / / )                                      | _____                     | _____     | _____     | _____  | _____   | _____  |
| 2.<br>_____<br>_____<br>_____<br>( / / )                                      | _____                     | _____     | _____     | _____  | _____   | _____  |
| 3.<br>_____<br>_____<br>_____<br>( / / )                                      | _____                     | _____     | _____     | _____  | _____   | _____  |
|   |                           |           |           | Total  | A. Nil  |  |
| Total funds raised during the month from exercise of options (State currency) |                           |           |           | Nil    |   |  |

Warrants to Issue Units in the Scheme which are to be Listed

| Description of warrants<br>(Date of expiry - dd/mm/yyyy)  | Currency of nominal<br>value | Nominal value at<br>close of<br>preceding month | Exercised<br>during the<br>month | Nominal value<br>at close of the<br>month | No. of new<br>units in<br>Scheme<br>issued during<br>the month<br>pursuant<br>thereto | No. of new<br>units in<br>Scheme which<br>may be issued<br>pursuant<br>thereto as at<br>close of the<br>month |
|---|------------------------------|---|----------------------------------|---|---|---|
| 1.<br>_____<br>_____<br>_____<br>_____  | _____                        | _____   | _____                            | _____                                     | _____   | _____   |
| (    /    /    )<br>Stock code (if listed) _____<br>Subscription price _____<br>EGM approval date<br>(if applicable)<br>(dd/mm/yyyy) _____ (    /    /    ) | _____                        | _____   | _____                            | _____                                     | _____   | _____   |
| 2.<br>_____<br>_____<br>_____<br>_____  | _____                        | _____   | _____                            | _____                                     | _____   | _____   |
| (    /    /    )<br>Stock code (if listed) _____<br>Subscription price _____<br>EGM approval date<br>(if applicable)<br>(dd/mm/yyyy) _____ (    /    /    ) | _____                        | _____   | _____                            | _____                                     | _____   | _____   |
| 3.<br>_____<br>_____<br>_____<br>_____  | _____                        | _____   | _____                            | _____                                     | _____   | _____   |
| (    /    /    )<br>Stock code (if listed) _____<br>Subscription price _____<br>EGM approval date<br>(if applicable)<br>(dd/mm/yyyy) _____ (    /    /    ) | _____                        | _____   | _____                            | _____                                     | _____   | _____   |
| 4.<br>_____<br>_____<br>_____<br>_____  | _____                        | _____   | _____                            | _____                                     | _____   | _____   |
| (    /    /    )<br>Stock code (if listed) _____<br>Subscription price _____<br>EGM approval date<br>(if applicable)<br>(dd/mm/yyyy) _____ (    /    /    ) | _____                        | _____   | _____                            | _____                                     | _____   | _____   |
| Total B. Nil _____  |                              |   |                                  |   |   | _____   |

Convertibles (i.e. Convertible into Units in the Scheme which are to be Listed)

| Class and description   | Currency of amount outstanding | Amount at close of preceding month | Converted during the month | Amount at close of the month | No. of new units in Scheme issued during the month pursuant thereto | No. of new units in Scheme which may be issued pursuant thereto as at close of the month |
|---|--------------------------------|------------------------------------|----------------------------|------------------------------|---|--|
| 1.<br>_____<br>_____<br>_____<br>_____  |                                |                                    |                            |                              |   |  |
| Stock code (if listed)<br>Subscription price<br>EGM approval date (if applicable)<br>(dd/mm/yyyy) |                                |                                    |                            |                              |   |  |
| 2.<br>_____<br>_____<br>_____<br>_____  |                                |                                    |                            |                              |   |  |
| Stock code (if listed)<br>Subscription price<br>EGM approval date (if applicable)<br>(dd/mm/yyyy) |                                |                                    |                            |                              |   |  |
| 3.<br>_____<br>_____<br>_____<br>_____  |                                |                                    |                            |                              |   |  |
| Stock code (if listed)<br>Subscription price<br>EGM approval date (if applicable)<br>(dd/mm/yyyy) |                                |                                    |                            |                              |   |  |
| 4.<br>_____<br>_____<br>_____<br>_____  |                                |                                    |                            |                              |   |  |
| Stock code (if listed)<br>Subscription price<br>EGM approval date (if applicable)<br>(dd/mm/yyyy) |                                |                                    |                            |                              |   |  |
|   |                                |                                    |                            | Total C. Nil _____           |   |  |

Any other Agreements or Arrangements to Issue Units in the Scheme which are to be Listed, including Options (other than under Unit Option Schemes)

| Full particulars including EGM approval date (dd/mm/yyyy), if applicable: | No. of new units in Scheme issued during the month pursuant thereto | No. of new units in Scheme which may be issued pursuant thereto as at close of the month |
|---|---|--|
| 1. _____<br>_____<br>_____ ( / / )  | _____   | _____  |
| 2. _____<br>_____<br>_____ ( / / )  | _____   | _____  |
| 3. _____<br>_____<br>_____ ( / / )  | _____   | _____  |
| Total   | D. Nil  | _____  |

Other Movements in Units

| Type of Issue   | No. of new units in Scheme issued during the month pursuant thereto | No. of new units in Scheme which may be issued pursuant thereto as at close of the month |
|---|---|--|
| 1. Rights issue<br>At price : State currency _____<br>Issue and allotment date : (dd/mm/yyyy) ( / / )<br>EGM approval date (dd/mm/yyyy) ( / / ) | _____   | _____  |
| 2. Open offer<br>At price : State currency _____<br>Issue and allotment date : (dd/mm/yyyy) ( / / )<br>EGM approval date (dd/mm/yyyy) ( / / )   | _____   | _____  |
| 3. Placing<br>At price : State currency _____<br>Issue and allotment date : (dd/mm/yyyy) ( / / )<br>EGM approval date (dd/mm/yyyy) ( / / )      | _____   | _____  |
| 4. Bonus issue<br>Issue and allotment date : (dd/mm/yyyy) ( / / )<br>EGM approval date (dd/mm/yyyy) ( / / )                                     | _____   | _____  |

| Type of Issue             |            |                |       | No. of new units in Scheme which may be issued pursuant thereto during the month | No. of new units in Scheme issued pursuant thereto at close of the month |
|---------------------------|------------|----------------|-------|--|--|
| 5. Scrip dividend         | At price : | State currency | _____ | EGM approval date (dd/mm/yyyy) ( / / )   | _____  |
| 6. Repurchase of units    |            |                |       | Cancellation date : (dd/mm/yyyy) ( / / )   |  |
|                           |            |                |       | EGM approval date : (dd/mm/yyyy) ( / / )   | _____  |
| 7. Redemption of units    |            |                |       | Redemption date : ( / / ) (dd/mm/yyyy)   |  |
|                           |            |                |       | EGM approval date (dd/mm/yyyy) ( / / )   | _____  |
| 8. Consideration issue    | At price : | State currency | _____ | Issue and allotment date : (dd/mm/yyyy) ( / / )                                  |  |
|                           |            |                |       | EGM approval date (dd/mm/yyyy) ( / / )   | _____  |
| 9. Other (Please specify) | At price : | State currency | _____ | Issue and allotment date : (dd/mm/yyyy) ( / / )                                  |  |
|                           |            |                |       | EGM approval date (dd/mm/yyyy) ( / / )   | _____  |
| Total E. Nil              |            |                |       |  | _____  |

|  |     |
|--|-----|
| Total increase / (decrease) during the month (i.e. Total of A to E):                                     | Nil |
| <i>(This figure should be the same as the relevant figure under I above ("Movements in Interests").)</i> |     |

Remarks (if any):

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**Monthly Return for Collective Investment Scheme listed under Chapter 20 of the Exchange Listing Rules (other than listed open-ended Collective Investment Scheme) on Movements in Units**

For the month ended (dd/mm/yyyy) : 31/05/2021

To : Hong Kong Exchanges and Clearing Limited

Name of Scheme HSBC China Dragon Fund

Date Submitted 03/06/2021

Stock Code 820

I. Movements in Interests

|                                       | No. of units        |     |
|---------------------------------------|---------------------|-----|
|                                       | (1)                 | (2) |
| Balance at close of preceding month   | <u>55,001,200</u>   |     |
| Increase/ (decrease) during the month | <u>(11,000,181)</u> |     |
| EGM approval date                     | <u>07/01/2016</u>   |     |
| Balance at close of the month         | <u>44,001,019</u>   |     |



II. Details of Movements in Units

Unit Options (under Unit Option Schemes of the Scheme)

| Particulars of unit option scheme including EGM approval date (dd/mm/yyyy)    | Movement during the month |           |           |        | No. of new units in Scheme issued during the month pursuant thereto | No. of new units in Scheme which may be issued pursuant thereto as at close of the month |
|---|---------------------------|-----------|-----------|--------|---|--|
|   | Granted                   | Exercised | Cancelled | Lapsed |   |  |
| 1.<br>_____<br>_____<br>_____<br>( / / )                                      | _____                     | _____     | _____     | _____  | _____   | _____  |
| 2.<br>_____<br>_____<br>_____<br>( / / )                                      | _____                     | _____     | _____     | _____  | _____   | _____  |
| 3.<br>_____<br>_____<br>_____<br>( / / )                                      | _____                     | _____     | _____     | _____  | _____   | _____  |
|   |                           |           |           | Total  | A. Nil  |  |
| Total funds raised during the month from exercise of options (State currency) |                           |           |           | Nil    |   |  |

Warrants to Issue Units in the Scheme which are to be Listed

| Description of warrants<br>(Date of expiry - dd/mm/yyyy)  | Currency of<br>nominal<br>value | Nominal value at<br>close of<br>preceding month | Exercised<br>during the<br>month | Nominal value<br>at close of the<br>month | No. of new<br>units in<br>Scheme<br>issued during<br>the month<br>pursuant<br>thereto | No. of new<br>units in<br>Scheme which<br>may be issued<br>pursuant<br>thereto as at<br>close of the<br>month |
|---|---------------------------------|---|----------------------------------|---|---|---|
| 1.<br>_____<br>_____<br>_____<br>_____  | _____                           | _____   | _____                            | _____                                     | _____   | _____   |
| (    /    /    )<br>Stock code (if listed) _____<br>Subscription price _____<br>EGM approval date<br>(if applicable)<br>(dd/mm/yyyy) _____ (    /    /    ) | _____                           | _____   | _____                            | _____                                     | _____   | _____   |
| 2.<br>_____<br>_____<br>_____<br>_____  | _____                           | _____   | _____                            | _____                                     | _____   | _____   |
| (    /    /    )<br>Stock code (if listed) _____<br>Subscription price _____<br>EGM approval date<br>(if applicable)<br>(dd/mm/yyyy) _____ (    /    /    ) | _____                           | _____   | _____                            | _____                                     | _____   | _____   |
| 3.<br>_____<br>_____<br>_____<br>_____  | _____                           | _____   | _____                            | _____                                     | _____   | _____   |
| (    /    /    )<br>Stock code (if listed) _____<br>Subscription price _____<br>EGM approval date<br>(if applicable)<br>(dd/mm/yyyy) _____ (    /    /    ) | _____                           | _____   | _____                            | _____                                     | _____   | _____   |
| 4.<br>_____<br>_____<br>_____<br>_____  | _____                           | _____   | _____                            | _____                                     | _____   | _____   |
| (    /    /    )<br>Stock code (if listed) _____<br>Subscription price _____<br>EGM approval date<br>(if applicable)<br>(dd/mm/yyyy) _____ (    /    /    ) | _____                           | _____   | _____                            | _____                                     | _____   | _____   |
| Total B. Nil _____  |                                 |   |                                  |   |   | _____   |

Convertibles (i.e. Convertible into Units in the Scheme which are to be Listed)

| Class and description   | Currency of amount outstanding     | Amount at close of preceding month | Converted during the month | Amount at close of the month | No. of new units in Scheme issued during the month pursuant thereto | No. of new units in Scheme which may be issued pursuant thereto as at close of the month |
|---|------------------------------------|------------------------------------|----------------------------|------------------------------|---|--|
| 1.<br>_____<br>_____<br>_____<br>_____  |                                    |                                    |                            |                              |   |  |
| Stock code (if listed)<br>Subscription price<br>EGM approval date (if applicable)<br>(dd/mm/yyyy) | _____<br>_____<br>_____<br>( / / ) |                                    |                            |                              |   |  |
| 2.<br>_____<br>_____<br>_____<br>_____  |                                    |                                    |                            |                              |   |  |
| Stock code (if listed)<br>Subscription price<br>EGM approval date (if applicable)<br>(dd/mm/yyyy) | _____<br>_____<br>_____<br>( / / ) |                                    |                            |                              |   |  |
| 3.<br>_____<br>_____<br>_____<br>_____  |                                    |                                    |                            |                              |   |  |
| Stock code (if listed)<br>Subscription price<br>EGM approval date (if applicable)<br>(dd/mm/yyyy) | _____<br>_____<br>_____<br>( / / ) |                                    |                            |                              |   |  |
| 4.<br>_____<br>_____<br>_____<br>_____  |                                    |                                    |                            |                              |   |  |
| Stock code (if listed)<br>Subscription price<br>EGM approval date (if applicable)<br>(dd/mm/yyyy) | _____<br>_____<br>_____<br>( / / ) |                                    |                            |                              |   |  |
|   |                                    |                                    |                            | Total C. Nil _____           |   |  |

Any other Agreements or Arrangements to Issue Units in the Scheme which are to be Listed, including Options (other than under Unit Option Schemes)

| Full particulars including EGM approval date (dd/mm/yyyy), if applicable: | No. of new units in Scheme issued during the month pursuant thereto | No. of new units in Scheme which may be issued pursuant thereto as at close of the month |
|---|---|--|
| 1. _____<br>_____<br>_____ ( / / )  | _____   | _____  |
| 2. _____<br>_____<br>_____ ( / / )  | _____   | _____  |
| 3. _____<br>_____<br>_____ ( / / )  | _____   | _____  |
| Total   | D. Nil  | _____  |

Other Movements in Units

| Type of Issue   | No. of new units in Scheme issued during the month pursuant thereto | No. of new units in Scheme which may be issued pursuant thereto as at close of the month |
|---|---|--|
| 1. Rights issue<br>At price : State currency _____<br>Issue and allotment date : (dd/mm/yyyy) ( / / )<br>EGM approval date (dd/mm/yyyy) ( / / ) | _____   | _____  |
| 2. Open offer<br>At price : State currency _____<br>Issue and allotment date : (dd/mm/yyyy) ( / / )<br>EGM approval date (dd/mm/yyyy) ( / / )   | _____   | _____  |
| 3. Placing<br>At price : State currency _____<br>Issue and allotment date : (dd/mm/yyyy) ( / / )<br>EGM approval date (dd/mm/yyyy) ( / / )      | _____   | _____  |
| 4. Bonus issue<br>Issue and allotment date : (dd/mm/yyyy) ( / / )<br>EGM approval date (dd/mm/yyyy) ( / / )                                     | _____   | _____  |

| Type of Issue             |            |                |   | No. of new units in Scheme which may be issued pursuant thereto during the month | No. of new units in Scheme issued pursuant thereto at close of the month |
|---------------------------|------------|----------------|---|--|--|
| 5. Scrip dividend         | At price : | State currency | _____ EGM approval date (dd/mm/yyyy) ( / / )  | _____  | _____  |
| 6. Repurchase of units    |            |                | Cancellation date : (dd/mm/yyyy) ( / / )<br>EGM approval date : (dd/mm/yyyy) ( / / )            | _____  | _____  |
| 7. Redemption of units    |            |                | Redemption date : (25/05/2021) (dd/mm/yyyy)<br>EGM approval date (dd/mm/yyyy) (07/01/2016)      | (11,000,181)   | _____  |
| 8. Consideration issue    | At price : | State currency | _____ Issue and allotment date : (dd/mm/yyyy) ( / / )<br>EGM approval date (dd/mm/yyyy) ( / / ) | _____  | _____  |
| 9. Other (Please specify) | At price : | State currency | _____ Issue and allotment date : (dd/mm/yyyy) ( / / )<br>EGM approval date (dd/mm/yyyy) ( / / ) | _____  | _____  |
|                           |            |                |   | Total E. (11,000,181)  |  |

|  |              |
|--|--------------|
| Total increase / (decrease) during the month (i.e. Total of A to E):                                     | (11,000,181) |
| <i>(This figure should be the same as the relevant figure under I above ("Movements in Interests").)</i> |              |

Remarks (if any):

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**Monthly Return for Collective Investment Scheme listed under Chapter 20 of the Exchange Listing Rules (other than listed open-ended Collective Investment Scheme) on Movements in Units**

For the month ended (dd/mm/yyyy) : 30/04/2021

To : Hong Kong Exchanges and Clearing Limited

Name of Scheme HSBC China Dragon Fund

Date Submitted 03/05/2021

Stock Code 820

I. Movements in Interests

|                                       | No. of units      |     |
|---------------------------------------|-------------------|-----|
|                                       | (1)               | (2) |
| Balance at close of preceding month   | <u>55,001,200</u> |     |
| Increase/ (decrease) during the month | <u>--</u>         |     |
| EGM approval date                     |                   |     |
| Balance at close of the month         | <u>55,001,200</u> |     |

II. Details of Movements in Units

Unit Options (under Unit Option Schemes of the Scheme)

| Particulars of unit option scheme including EGM approval date (dd/mm/yyyy)    | Movement during the month |           |           |        | No. of new units in Scheme issued during the month pursuant thereto | No. of new units in Scheme which may be issued pursuant thereto as at close of the month |
|---|---------------------------|-----------|-----------|--------|---|--|
|   | Granted                   | Exercised | Cancelled | Lapsed |   |  |
| 1.<br>_____<br>_____<br>_____<br>( / / )                                      | _____                     | _____     | _____     | _____  | _____   | _____  |
| 2.<br>_____<br>_____<br>_____<br>( / / )                                      | _____                     | _____     | _____     | _____  | _____   | _____  |
| 3.<br>_____<br>_____<br>_____<br>( / / )                                      | _____                     | _____     | _____     | _____  | _____   | _____  |
|   |                           |           |           | Total  | A. Nil  |  |
| Total funds raised during the month from exercise of options (State currency) |                           |           |           | Nil    |   |  |



Warrants to Issue Units in the Scheme which are to be Listed

| Description of warrants<br>(Date of expiry - dd/mm/yyyy)  | Currency of<br>nominal<br>value | Nominal value at<br>close of<br>preceding month | Exercised<br>during the<br>month | Nominal value<br>at close of the<br>month | No. of new<br>units in<br>Scheme<br>issued during<br>the month<br>pursuant<br>thereto | No. of new<br>units in<br>Scheme which<br>may be issued<br>pursuant<br>thereto as at<br>close of the<br>month |
|---|---------------------------------|---|----------------------------------|---|---|---|
| 1.<br>_____<br>_____<br>_____<br>_____  | _____                           | _____   | _____                            | _____                                     | _____   | _____   |
| (   /   /   )<br>Stock code (if listed) _____<br>Subscription price _____<br>EGM approval date<br>(if applicable)<br>(dd/mm/yyyy) _____ (   /   /   ) | _____                           | _____   | _____                            | _____                                     | _____   | _____   |
| 2.<br>_____<br>_____<br>_____<br>_____  | _____                           | _____   | _____                            | _____                                     | _____   | _____   |
| (   /   /   )<br>Stock code (if listed) _____<br>Subscription price _____<br>EGM approval date<br>(if applicable)<br>(dd/mm/yyyy) _____ (   /   /   ) | _____                           | _____   | _____                            | _____                                     | _____   | _____   |
| 3.<br>_____<br>_____<br>_____<br>_____  | _____                           | _____   | _____                            | _____                                     | _____   | _____   |
| (   /   /   )<br>Stock code (if listed) _____<br>Subscription price _____<br>EGM approval date<br>(if applicable)<br>(dd/mm/yyyy) _____ (   /   /   ) | _____                           | _____   | _____                            | _____                                     | _____   | _____   |
| 4.<br>_____<br>_____<br>_____<br>_____  | _____                           | _____   | _____                            | _____                                     | _____   | _____   |
| (   /   /   )<br>Stock code (if listed) _____<br>Subscription price _____<br>EGM approval date<br>(if applicable)<br>(dd/mm/yyyy) _____ (   /   /   ) | _____                           | _____   | _____                            | _____                                     | _____   | _____   |
| Total B. Nil _____  |                                 |   |                                  |   |   | _____   |

Convertibles (i.e. Convertible into Units in the Scheme which are to be Listed)

| Class and description   | Currency of amount outstanding     | Amount at close of preceding month | Converted during the month | Amount at close of the month | No. of new units in Scheme issued during the month pursuant thereto | No. of new units in Scheme which may be issued pursuant thereto as at close of the month |
|---|------------------------------------|------------------------------------|----------------------------|------------------------------|---|--|
| 1.<br>_____<br>_____<br>_____<br>_____  |                                    |                                    |                            |                              |   |  |
| Stock code (if listed)<br>Subscription price<br>EGM approval date (if applicable)<br>(dd/mm/yyyy) | _____<br>_____<br>_____<br>( / / ) |                                    |                            |                              |   |  |
| 2.<br>_____<br>_____<br>_____<br>_____  |                                    |                                    |                            |                              |   |  |
| Stock code (if listed)<br>Subscription price<br>EGM approval date (if applicable)<br>(dd/mm/yyyy) | _____<br>_____<br>_____<br>( / / ) |                                    |                            |                              |   |  |
| 3.<br>_____<br>_____<br>_____<br>_____  |                                    |                                    |                            |                              |   |  |
| Stock code (if listed)<br>Subscription price<br>EGM approval date (if applicable)<br>(dd/mm/yyyy) | _____<br>_____<br>_____<br>( / / ) |                                    |                            |                              |   |  |
| 4.<br>_____<br>_____<br>_____<br>_____  |                                    |                                    |                            |                              |   |  |
| Stock code (if listed)<br>Subscription price<br>EGM approval date (if applicable)<br>(dd/mm/yyyy) | _____<br>_____<br>_____<br>( / / ) |                                    |                            |                              |   |  |
|   |                                    |                                    |                            | Total C. Nil _____           |   |  |

Any other Agreements or Arrangements to Issue Units in the Scheme which are to be Listed, including Options (other than under Unit Option Schemes)

| Full particulars including EGM approval date (dd/mm/yyyy), if applicable: | No. of new units in Scheme issued during the month pursuant thereto | No. of new units in Scheme which may be issued pursuant thereto as at close of the month |
|---|---|--|
| 1. _____<br>_____<br>_____ ( / / )  | _____   | _____  |
| 2. _____<br>_____<br>_____ ( / / )  | _____   | _____  |
| 3. _____<br>_____<br>_____ ( / / )  | _____   | _____  |
| Total   | D. Nil  | _____  |

Other Movements in Units

| Type of Issue   | No. of new units in Scheme issued during the month pursuant thereto | No. of new units in Scheme which may be issued pursuant thereto as at close of the month |
|---|---|--|
| 1. Rights issue<br>At price : State currency _____<br>Issue and allotment date : (dd/mm/yyyy) ( / / )<br>EGM approval date (dd/mm/yyyy) ( / / ) | _____   | _____  |
| 2. Open offer<br>At price : State currency _____<br>Issue and allotment date : (dd/mm/yyyy) ( / / )<br>EGM approval date (dd/mm/yyyy) ( / / )   | _____   | _____  |
| 3. Placing<br>At price : State currency _____<br>Issue and allotment date : (dd/mm/yyyy) ( / / )<br>EGM approval date (dd/mm/yyyy) ( / / )      | _____   | _____  |
| 4. Bonus issue<br>Issue and allotment date : (dd/mm/yyyy) ( / / )<br>EGM approval date (dd/mm/yyyy) ( / / )                                     | _____   | _____  |

| Type of Issue             |            |                |       | No. of new units in Scheme which may be issued pursuant thereto during the month          | No. of new units in Scheme issued pursuant thereto at close of the month |
|---------------------------|------------|----------------|-------|---|--|
| 5. Scrip dividend         | At price : | State currency | _____ | EGM approval date (dd/mm/yyyy) ( / / )  | _____  |
| 6. Repurchase of units    |            |                |       | Cancellation date : (dd/mm/yyyy) ( / / )<br>EGM approval date : (dd/mm/yyyy) ( / / )      | _____  |
| 7. Redemption of units    |            |                |       | Redemption date : ( / / ) (dd/mm/yyyy)<br>EGM approval date (dd/mm/yyyy) ( / / )          | _____  |
| 8. Consideration issue    | At price : | State currency | _____ | Issue and allotment date : (dd/mm/yyyy) ( / / )<br>EGM approval date (dd/mm/yyyy) ( / / ) | _____  |
| 9. Other (Please specify) | At price : | State currency | _____ | Issue and allotment date : (dd/mm/yyyy) ( / / )<br>EGM approval date (dd/mm/yyyy) ( / / ) | _____  |
| Total E. Nil              |            |                |       |   | _____  |

|  |     |
|--|-----|
| Total increase / (decrease) during the month (i.e. Total of A to E):                                     | Nil |
| <i>(This figure should be the same as the relevant figure under I above ("Movements in Interests").)</i> |     |

Remarks (if any):

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**Monthly Return for Collective Investment Scheme listed under Chapter 20 of the Exchange Listing Rules (other than listed open-ended Collective Investment Scheme) on Movements in Units**

For the month ended (dd/mm/yyyy) : 31/03/2021

To : Hong Kong Exchanges and Clearing Limited

Name of Scheme HSBC China Dragon Fund

Date Submitted 01/04/2021

Stock Code 820

I. Movements in Interests

|                                       | No. of units      |     |
|---------------------------------------|-------------------|-----|
|                                       | (1)               | (2) |
| Balance at close of preceding month   | <u>55,001,200</u> |     |
| Increase/ (decrease) during the month | <u>--</u>         |     |
| EGM approval date                     |                   |     |
| Balance at close of the month         | <u>55,001,200</u> |     |

II. Details of Movements in Units

Unit Options (under Unit Option Schemes of the Scheme)

| Particulars of unit option scheme including EGM approval date (dd/mm/yyyy)    | Movement during the month |           |           |              | No. of new units in Scheme issued during the month pursuant thereto | No. of new units in Scheme which may be issued pursuant thereto as at close of the month |
|---|---------------------------|-----------|-----------|--------------|---|--|
|   | Granted                   | Exercised | Cancelled | Lapsed       |   |  |
| 1.<br>_____<br>_____<br>_____<br>( / / )                                      | _____                     | _____     | _____     | _____        | _____   | _____  |
| 2.<br>_____<br>_____<br>_____<br>( / / )                                      | _____                     | _____     | _____     | _____        | _____   | _____  |
| 3.<br>_____<br>_____<br>_____<br>( / / )                                      | _____                     | _____     | _____     | _____        | _____   | _____  |
|   |                           |           |           | <u>Total</u> | <u>A. Nil</u>   |  |
| Total funds raised during the month from exercise of options (State currency) |                           |           |           | <u>Nil</u>   |   |  |

Warrants to Issue Units in the Scheme which are to be Listed

| Description of warrants<br>(Date of expiry - dd/mm/yyyy)  | Currency of<br>nominal<br>value | Nominal value at<br>close of<br>preceding month | Exercised<br>during the<br>month | Nominal value<br>at close of the<br>month | No. of new<br>units in<br>Scheme<br>issued during<br>the month<br>pursuant<br>thereto | No. of new<br>units in<br>Scheme which<br>may be issued<br>pursuant<br>thereto as at<br>close of the<br>month |
|---|---------------------------------|---|----------------------------------|---|---|---|
| 1.<br>_____<br>_____<br>_____<br>_____  | _____                           | _____   | _____                            | _____                                     | _____   | _____   |
| (    /    /    )<br>Stock code (if listed) _____<br>Subscription price _____<br>EGM approval date<br>(if applicable)<br>(dd/mm/yyyy) _____ (    /    /    ) | _____                           | _____   | _____                            | _____                                     | _____   | _____   |
| 2.<br>_____<br>_____<br>_____<br>_____  | _____                           | _____   | _____                            | _____                                     | _____   | _____   |
| (    /    /    )<br>Stock code (if listed) _____<br>Subscription price _____<br>EGM approval date<br>(if applicable)<br>(dd/mm/yyyy) _____ (    /    /    ) | _____                           | _____   | _____                            | _____                                     | _____   | _____   |
| 3.<br>_____<br>_____<br>_____<br>_____  | _____                           | _____   | _____                            | _____                                     | _____   | _____   |
| (    /    /    )<br>Stock code (if listed) _____<br>Subscription price _____<br>EGM approval date<br>(if applicable)<br>(dd/mm/yyyy) _____ (    /    /    ) | _____                           | _____   | _____                            | _____                                     | _____   | _____   |
| 4.<br>_____<br>_____<br>_____<br>_____  | _____                           | _____   | _____                            | _____                                     | _____   | _____   |
| (    /    /    )<br>Stock code (if listed) _____<br>Subscription price _____<br>EGM approval date<br>(if applicable)<br>(dd/mm/yyyy) _____ (    /    /    ) | _____                           | _____   | _____                            | _____                                     | _____   | _____   |
| Total B. Nil _____  |                                 |   |                                  |   |   | _____   |



Convertibles (i.e. Convertible into Units in the Scheme which are to be Listed)

| Class and description   | Currency of amount outstanding     | Amount at close of preceding month | Converted during the month | Amount at close of the month | No. of new units in Scheme issued during the month pursuant thereto | No. of new units in Scheme which may be issued pursuant thereto as at close of the month |
|---|------------------------------------|------------------------------------|----------------------------|------------------------------|---|--|
| 1.<br>_____<br>_____<br>_____<br>_____  |                                    |                                    |                            |                              |   |  |
| Stock code (if listed)<br>Subscription price<br>EGM approval date (if applicable)<br>(dd/mm/yyyy) | _____<br>_____<br>_____<br>( / / ) |                                    |                            |                              |   |  |
| 2.<br>_____<br>_____<br>_____<br>_____  |                                    |                                    |                            |                              |   |  |
| Stock code (if listed)<br>Subscription price<br>EGM approval date (if applicable)<br>(dd/mm/yyyy) | _____<br>_____<br>_____<br>( / / ) |                                    |                            |                              |   |  |
| 3.<br>_____<br>_____<br>_____<br>_____  |                                    |                                    |                            |                              |   |  |
| Stock code (if listed)<br>Subscription price<br>EGM approval date (if applicable)<br>(dd/mm/yyyy) | _____<br>_____<br>_____<br>( / / ) |                                    |                            |                              |   |  |
| 4.<br>_____<br>_____<br>_____<br>_____  |                                    |                                    |                            |                              |   |  |
| Stock code (if listed)<br>Subscription price<br>EGM approval date (if applicable)<br>(dd/mm/yyyy) | _____<br>_____<br>_____<br>( / / ) |                                    |                            |                              |   |  |
|   |                                    |                                    |                            | Total C. Nil _____           |   |  |

Any other Agreements or Arrangements to Issue Units in the Scheme which are to be Listed, including Options (other than under Unit Option Schemes)

| Full particulars including EGM approval date (dd/mm/yyyy), if applicable: | No. of new units in Scheme issued during the month pursuant thereto | No. of new units in Scheme which may be issued pursuant thereto as at close of the month |
|---|---|--|
| 1. _____<br>_____<br>_____ ( / / )  | _____   | _____  |
| 2. _____<br>_____<br>_____ ( / / )  | _____   | _____  |
| 3. _____<br>_____<br>_____ ( / / )  | _____   | _____  |
| Total   | D. Nil  | _____  |

Other Movements in Units

| Type of Issue   | No. of new units in Scheme issued during the month pursuant thereto | No. of new units in Scheme which may be issued pursuant thereto as at close of the month |
|---|---|--|
| 1. Rights issue<br>At price : State currency _____<br>Issue and allotment date : (dd/mm/yyyy) ( / / )<br>EGM approval date (dd/mm/yyyy) ( / / ) | _____   | _____  |
| 2. Open offer<br>At price : State currency _____<br>Issue and allotment date : (dd/mm/yyyy) ( / / )<br>EGM approval date (dd/mm/yyyy) ( / / )   | _____   | _____  |
| 3. Placing<br>At price : State currency _____<br>Issue and allotment date : (dd/mm/yyyy) ( / / )<br>EGM approval date (dd/mm/yyyy) ( / / )      | _____   | _____  |
| 4. Bonus issue<br>Issue and allotment date : (dd/mm/yyyy) ( / / )<br>EGM approval date (dd/mm/yyyy) ( / / )                                     | _____   | _____  |

| Type of Issue |                        |            |                      |   | No. of new units in Scheme which may be issued pursuant thereto during the month | No. of new units in Scheme issued pursuant thereto at close of the month |
|---------------|------------------------|------------|----------------------|---|--|--|
| 5.            | Scrip dividend         | At price : | State currency _____ | EGM approval date (dd/mm/yyyy) ( / / )  | _____  | _____  |
| 6.            | Repurchase of units    |            |                      | Cancellation date : (dd/mm/yyyy) ( / / )<br>EGM approval date : (dd/mm/yyyy) ( / / )      | _____  | _____  |
| 7.            | Redemption of units    |            |                      | Redemption date : ( / / ) (dd/mm/yyyy)<br>EGM approval date (dd/mm/yyyy) ( / / )          | _____  | _____  |
| 8.            | Consideration issue    | At price : | State currency _____ | Issue and allotment date : (dd/mm/yyyy) ( / / )<br>EGM approval date (dd/mm/yyyy) ( / / ) | _____  | _____  |
| 9.            | Other (Please specify) | At price : | State currency _____ | Issue and allotment date : (dd/mm/yyyy) ( / / )<br>EGM approval date (dd/mm/yyyy) ( / / ) | _____  | _____  |
| Total         |                        |            |                      |   | E. Nil   | _____  |

|  |     |
|--|-----|
| Total increase / (decrease) during the month (i.e. Total of A to E):                                     | Nil |
| <i>(This figure should be the same as the relevant figure under I above ("Movements in Interests").)</i> |     |

Remarks (if any):

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**Monthly Return for Collective Investment Scheme listed under Chapter 20 of the Exchange Listing Rules (other than listed open-ended Collective Investment Scheme) on Movements in Units**

For the month ended (dd/mm/yyyy) : 28/02/2021

To : Hong Kong Exchanges and Clearing Limited

Name of Scheme HSBC China Dragon Fund

Date Submitted 01/03/2021

Stock Code 820

**I. Movements in Interests**

|                                       | No. of units      |     |
|---------------------------------------|-------------------|-----|
|                                       | (1)               | (2) |
| Balance at close of preceding month   | <u>55,001,200</u> |     |
| Increase/ (decrease) during the month | <u>--</u>         |     |
| EGM approval date                     |                   |     |
| Balance at close of the month         | <u>55,001,200</u> |     |

II. Details of Movements in Units

Unit Options (under Unit Option Schemes of the Scheme)

| Particulars of unit option scheme including EGM approval date (dd/mm/yyyy)    | Movement during the month |           |           |        | No. of new units in Scheme issued during the month pursuant thereto | No. of new units in Scheme which may be issued pursuant thereto as at close of the month |
|---|---------------------------|-----------|-----------|--------|---|--|
|   | Granted                   | Exercised | Cancelled | Lapsed |   |  |
| 1.<br>_____<br>_____<br>_____<br>( / / )                                      | _____                     | _____     | _____     | _____  | _____   | _____  |
| 2.<br>_____<br>_____<br>_____<br>( / / )                                      | _____                     | _____     | _____     | _____  | _____   | _____  |
| 3.<br>_____<br>_____<br>_____<br>( / / )                                      | _____                     | _____     | _____     | _____  | _____   | _____  |
|   |                           |           |           | Total  | A. Nil  |  |
| Total funds raised during the month from exercise of options (State currency) |                           |           |           | Nil    |   |  |

Warrants to Issue Units in the Scheme which are to be Listed

| Description of warrants<br>(Date of expiry - dd/mm/yyyy)  | Currency of<br>nominal<br>value | Nominal value at<br>close of<br>preceding month | Exercised<br>during the<br>month | Nominal value<br>at close of the<br>month | No. of new<br>units in<br>Scheme<br>issued during<br>the month<br>pursuant<br>thereto | No. of new<br>units in<br>Scheme which<br>may be issued<br>pursuant<br>thereto as at<br>close of the<br>month |
|---|---------------------------------|---|----------------------------------|---|---|---|
| 1.<br>_____<br>_____<br>_____<br>_____  | _____                           | _____   | _____                            | _____                                     | _____   | _____   |
| (    /    /    )<br>Stock code (if listed) _____<br>Subscription price _____<br>EGM approval date<br>(if applicable)<br>(dd/mm/yyyy) _____ (    /    /    ) | _____                           | _____   | _____                            | _____                                     | _____   | _____   |
| 2.<br>_____<br>_____<br>_____<br>_____  | _____                           | _____   | _____                            | _____                                     | _____   | _____   |
| (    /    /    )<br>Stock code (if listed) _____<br>Subscription price _____<br>EGM approval date<br>(if applicable)<br>(dd/mm/yyyy) _____ (    /    /    ) | _____                           | _____   | _____                            | _____                                     | _____   | _____   |
| 3.<br>_____<br>_____<br>_____<br>_____  | _____                           | _____   | _____                            | _____                                     | _____   | _____   |
| (    /    /    )<br>Stock code (if listed) _____<br>Subscription price _____<br>EGM approval date<br>(if applicable)<br>(dd/mm/yyyy) _____ (    /    /    ) | _____                           | _____   | _____                            | _____                                     | _____   | _____   |
| 4.<br>_____<br>_____<br>_____<br>_____  | _____                           | _____   | _____                            | _____                                     | _____   | _____   |
| (    /    /    )<br>Stock code (if listed) _____<br>Subscription price _____<br>EGM approval date<br>(if applicable)<br>(dd/mm/yyyy) _____ (    /    /    ) | _____                           | _____   | _____                            | _____                                     | _____   | _____   |
|   |                                 |   |                                  | Total B. Nil _____                        |   |   |

Convertibles (i.e. Convertible into Units in the Scheme which are to be Listed)

| Class and description   | Currency of amount outstanding | Amount at close of preceding month | Converted during the month | Amount at close of the month | No. of new units in Scheme issued during the month pursuant thereto | No. of new units in Scheme which may be issued pursuant thereto as at close of the month |
|---|--------------------------------|------------------------------------|----------------------------|------------------------------|---|--|
| 1.<br>_____<br>_____<br>_____<br>_____  |                                |                                    |                            |                              |   |  |
| Stock code (if listed)<br>Subscription price<br>EGM approval date (if applicable)<br>(dd/mm/yyyy) | _____                          | _____                              | _____                      | _____                        | _____   | _____  |
| 2.<br>_____<br>_____<br>_____<br>_____  |                                |                                    |                            |                              |   |  |
| Stock code (if listed)<br>Subscription price<br>EGM approval date (if applicable)<br>(dd/mm/yyyy) | _____                          | _____                              | _____                      | _____                        | _____   | _____  |
| 3.<br>_____<br>_____<br>_____<br>_____  |                                |                                    |                            |                              |   |  |
| Stock code (if listed)<br>Subscription price<br>EGM approval date (if applicable)<br>(dd/mm/yyyy) | _____                          | _____                              | _____                      | _____                        | _____   | _____  |
| 4.<br>_____<br>_____<br>_____<br>_____  |                                |                                    |                            |                              |   |  |
| Stock code (if listed)<br>Subscription price<br>EGM approval date (if applicable)<br>(dd/mm/yyyy) | _____                          | _____                              | _____                      | _____                        | _____   | _____  |
| Total C. Nil _____  |                                |                                    |                            |                              |   |  |



Any other Agreements or Arrangements to Issue Units in the Scheme which are to be Listed, including Options (other than under Unit Option Schemes)

| Full particulars including EGM approval date (dd/mm/yyyy), if applicable: | No. of new units in Scheme issued during the month pursuant thereto | No. of new units in Scheme which may be issued pursuant thereto as at close of the month |
|---|---|--|
| 1. _____<br>_____<br>_____ ( / / )  | _____   | _____  |
| 2. _____<br>_____<br>_____ ( / / )  | _____   | _____  |
| 3. _____<br>_____<br>_____ ( / / )  | _____   | _____  |
| Total   | D. Nil  | _____  |

Other Movements in Units

| Type of Issue  | No. of new units in Scheme issued during the month pursuant thereto | No. of new units in Scheme which may be issued pursuant thereto as at close of the month |
|--|---|--|
| 1. Rights issue      At price :      State currency _____<br>Issue and allotment date : (dd/mm/yyyy) ( / / )<br>EGM approval date (dd/mm/yyyy) ( / / ) | _____   | _____  |
| 2. Open offer      At price :      State currency _____<br>Issue and allotment date : (dd/mm/yyyy) ( / / )<br>EGM approval date (dd/mm/yyyy) ( / / )   | _____   | _____  |
| 3. Placing      At price :      State currency _____<br>Issue and allotment date : (dd/mm/yyyy) ( / / )<br>EGM approval date (dd/mm/yyyy) ( / / )      | _____   | _____  |
| 4. Bonus issue<br>Issue and allotment date : (dd/mm/yyyy) ( / / )<br>EGM approval date (dd/mm/yyyy) ( / / )  | _____   | _____  |

| Type of Issue |                        |            |                      |   | No. of new units in Scheme which may be issued pursuant thereto during the month | No. of new units in Scheme issued pursuant thereto at close of the month |
|---------------|------------------------|------------|----------------------|---|--|--|
| 5.            | Scrip dividend         | At price : | State currency _____ | EGM approval date (dd/mm/yyyy) ( / / )  | _____  | _____  |
| 6.            | Repurchase of units    |            |                      | Cancellation date : (dd/mm/yyyy) ( / / )<br>EGM approval date : (dd/mm/yyyy) ( / / )      | _____  | _____  |
| 7.            | Redemption of units    |            |                      | Redemption date : ( / / ) (dd/mm/yyyy)<br>EGM approval date (dd/mm/yyyy) ( / / )          | _____  | _____  |
| 8.            | Consideration issue    | At price : | State currency _____ | Issue and allotment date : (dd/mm/yyyy) ( / / )<br>EGM approval date (dd/mm/yyyy) ( / / ) | _____  | _____  |
| 9.            | Other (Please specify) | At price : | State currency _____ | Issue and allotment date : (dd/mm/yyyy) ( / / )<br>EGM approval date (dd/mm/yyyy) ( / / ) | _____  | _____  |
| Total         |                        |            |                      |   | E. Nil   | _____  |

|  |     |
|--|-----|
| Total increase / (decrease) during the month (i.e. Total of A to E):                                     | Nil |
| <i>(This figure should be the same as the relevant figure under I above ("Movements in Interests").)</i> |     |

Remarks (if any):

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**Monthly Return for Collective Investment Scheme listed under Chapter 20 of the Exchange Listing Rules (other than listed open-ended Collective Investment Scheme) on Movements in Units**

For the month ended (dd/mm/yyyy) : 31/01/2021

To : Hong Kong Exchanges and Clearing Limited

Name of Scheme HSBC China Dragon Fund

Date Submitted 01/02/2021

Stock Code 820

I. Movements in Interests

|                                       | No. of units      |     |
|---------------------------------------|-------------------|-----|
|                                       | (1)               | (2) |
| Balance at close of preceding month   | <u>55,001,200</u> |     |
| Increase/ (decrease) during the month | <u>--</u>         |     |
| EGM approval date                     |                   |     |
| Balance at close of the month         | <u>55,001,200</u> |     |

II. Details of Movements in Units

Unit Options (under Unit Option Schemes of the Scheme)

| Particulars of unit option scheme including EGM approval date (dd/mm/yyyy)    | Movement during the month |           |           |        | No. of new units in Scheme issued during the month pursuant thereto | No. of new units in Scheme which may be issued pursuant thereto as at close of the month |
|---|---------------------------|-----------|-----------|--------|---|--|
|   | Granted                   | Exercised | Cancelled | Lapsed |   |  |
| 1.<br>_____<br>_____<br>_____<br>( / / )                                      | _____                     | _____     | _____     | _____  | _____   | _____  |
| 2.<br>_____<br>_____<br>_____<br>( / / )                                      | _____                     | _____     | _____     | _____  | _____   | _____  |
| 3.<br>_____<br>_____<br>_____<br>( / / )                                      | _____                     | _____     | _____     | _____  | _____   | _____  |
|   |                           |           |           | Total  | A. Nil  |  |
| Total funds raised during the month from exercise of options (State currency) |                           |           |           | Nil    |   |  |

Warrants to Issue Units in the Scheme which are to be Listed

| Description of warrants<br>(Date of expiry - dd/mm/yyyy)  | Currency of nominal<br>value | Nominal value at<br>close of<br>preceding month | Exercised<br>during the<br>month | Nominal value<br>at close of the<br>month | No. of new<br>units in<br>Scheme<br>issued during<br>the month<br>pursuant<br>thereto | No. of new<br>units in<br>Scheme which<br>may be issued<br>pursuant<br>thereto as at<br>close of the<br>month |
|---|------------------------------|---|----------------------------------|---|---|---|
| 1.<br>_____<br>_____<br>_____<br>_____  | _____                        | _____   | _____                            | _____                                     | _____   | _____   |
| (    /    /    )<br>Stock code (if listed) _____<br>Subscription price _____<br>EGM approval date<br>(if applicable)<br>(dd/mm/yyyy) _____ (    /    /    ) | _____                        | _____   | _____                            | _____                                     | _____   | _____   |
| 2.<br>_____<br>_____<br>_____<br>_____  | _____                        | _____   | _____                            | _____                                     | _____   | _____   |
| (    /    /    )<br>Stock code (if listed) _____<br>Subscription price _____<br>EGM approval date<br>(if applicable)<br>(dd/mm/yyyy) _____ (    /    /    ) | _____                        | _____   | _____                            | _____                                     | _____   | _____   |
| 3.<br>_____<br>_____<br>_____<br>_____  | _____                        | _____   | _____                            | _____                                     | _____   | _____   |
| (    /    /    )<br>Stock code (if listed) _____<br>Subscription price _____<br>EGM approval date<br>(if applicable)<br>(dd/mm/yyyy) _____ (    /    /    ) | _____                        | _____   | _____                            | _____                                     | _____   | _____   |
| 4.<br>_____<br>_____<br>_____<br>_____  | _____                        | _____   | _____                            | _____                                     | _____   | _____   |
| (    /    /    )<br>Stock code (if listed) _____<br>Subscription price _____<br>EGM approval date<br>(if applicable)<br>(dd/mm/yyyy) _____ (    /    /    ) | _____                        | _____   | _____                            | _____                                     | _____   | _____   |
| Total B. Nil _____  |                              |   |                                  |   |   | _____   |

Convertibles (i.e. Convertible into Units in the Scheme which are to be Listed)

| Class and description  | Currency of amount outstanding     | Amount at close of preceding month | Converted during the month | Amount at close of the month | No. of new units in Scheme issued during the month pursuant thereto | No. of new units in Scheme which may be issued pursuant thereto as at close of the month |
|--|------------------------------------|------------------------------------|----------------------------|------------------------------|---|--|
| 1.<br>_____<br>_____<br>_____<br>_____   |                                    |                                    |                            |                              |   |  |
| Stock code (if listed)<br>Subscription price<br>EGM approval date (if applicable) (dd/mm/yyyy) | _____<br>_____<br>_____<br>( / / ) |                                    |                            |                              |   |  |
| 2.<br>_____<br>_____<br>_____<br>_____   |                                    |                                    |                            |                              |   |  |
| Stock code (if listed)<br>Subscription price<br>EGM approval date (if applicable) (dd/mm/yyyy) | _____<br>_____<br>_____<br>( / / ) |                                    |                            |                              |   |  |
| 3.<br>_____<br>_____<br>_____<br>_____   |                                    |                                    |                            |                              |   |  |
| Stock code (if listed)<br>Subscription price<br>EGM approval date (if applicable) (dd/mm/yyyy) | _____<br>_____<br>_____<br>( / / ) |                                    |                            |                              |   |  |
| 4.<br>_____<br>_____<br>_____<br>_____   |                                    |                                    |                            |                              |   |  |
| Stock code (if listed)<br>Subscription price<br>EGM approval date (if applicable) (dd/mm/yyyy) | _____<br>_____<br>_____<br>( / / ) |                                    |                            |                              |   |  |
|  |                                    |                                    |                            | Total C. Nil _____           |   |  |

Any other Agreements or Arrangements to Issue Units in the Scheme which are to be Listed, including Options (other than under Unit Option Schemes)

| Full particulars including EGM approval date (dd/mm/yyyy), if applicable: | No. of new units in Scheme issued during the month pursuant thereto | No. of new units in Scheme which may be issued pursuant thereto as at close of the month |
|---|---|--|
| 1. _____<br>_____<br>_____ ( / / )  | _____   | _____  |
| 2. _____<br>_____<br>_____ ( / / )  | _____   | _____  |
| 3. _____<br>_____<br>_____ ( / / )  | _____   | _____  |
| Total   | D. Nil  | _____  |

Other Movements in Units

| Type of Issue   | No. of new units in Scheme issued during the month pursuant thereto | No. of new units in Scheme which may be issued pursuant thereto as at close of the month |
|---|---|--|
| 1. Rights issue<br>At price : State currency _____<br>Issue and allotment date : (dd/mm/yyyy) ( / / )<br>EGM approval date (dd/mm/yyyy) ( / / ) | _____   | _____  |
| 2. Open offer<br>At price : State currency _____<br>Issue and allotment date : (dd/mm/yyyy) ( / / )<br>EGM approval date (dd/mm/yyyy) ( / / )   | _____   | _____  |
| 3. Placing<br>At price : State currency _____<br>Issue and allotment date : (dd/mm/yyyy) ( / / )<br>EGM approval date (dd/mm/yyyy) ( / / )      | _____   | _____  |
| 4. Bonus issue<br>Issue and allotment date : (dd/mm/yyyy) ( / / )<br>EGM approval date (dd/mm/yyyy) ( / / )                                     | _____   | _____  |



| Type of Issue             |            |                |   | No. of new units in Scheme which may be issued pursuant thereto during the month | No. of new units in Scheme issued pursuant thereto at close of the month |
|---------------------------|------------|----------------|---|--|--|
| 5. Scrip dividend         | At price : | State currency | _____ EGM approval date (dd/mm/yyyy) ( / / )  | _____  | _____  |
| 6. Repurchase of units    |            |                | Cancellation date : (dd/mm/yyyy) ( / / )<br>EGM approval date : (dd/mm/yyyy) ( / / )            | _____  | _____  |
| 7. Redemption of units    |            |                | Redemption date : ( / / ) (dd/mm/yyyy)<br>EGM approval date (dd/mm/yyyy) ( / / )                | _____  | _____  |
| 8. Consideration issue    | At price : | State currency | _____ Issue and allotment date : (dd/mm/yyyy) ( / / )<br>EGM approval date (dd/mm/yyyy) ( / / ) | _____  | _____  |
| 9. Other (Please specify) | At price : | State currency | _____ Issue and allotment date : (dd/mm/yyyy) ( / / )<br>EGM approval date (dd/mm/yyyy) ( / / ) | _____  | _____  |
|                           |            |                |   | Total E. Nil _____   |  |

|  |     |
|--|-----|
| Total increase / (decrease) during the month (i.e. Total of A to E):                                     | Nil |
| <i>(This figure should be the same as the relevant figure under I above ("Movements in Interests").)</i> |     |

Remarks (if any):

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